

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

In re Application of: Nancy Y. Ip et al.
 Serial No: 10/726,160
 Filed: December 2, 2003
 Confirmation No: Unknown
 Title: DNA Sequence Encoding a Retinoic Acid Regulated Protein

Attorney Docket No: MLY-2-DIV-CIP
 Date: March 3, 2004
 Art Unit: Unknown
 Our Account No: 04-1403
 Cust. ID. No.: 22827

Commissioner for Patents
 U.S. Patent and Trademark Office
 Post Office Box 1450
 Alexandria, VA 22313-1450

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1.[x] Attached hereto is:

- a.[x] A list of materials for consideration per Rule 98(a)(1): 1 page(s)
- b.[x] A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98(c) and/or (d) and as indicated on the attached list(s):
5 item(s).
- c. [] For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items:

[] Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2.[x] This Information Disclosure Statement is being filed [CHECK ONE]:

- a.[x] WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b. [] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE per Rule 97(c) submitted herewith is [CHECK ONE]:

- i. [] Certification per Rule 97(e); OR
- ii. [] Filing Fee per Rule 17(p)\$180.00

- c. [] AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:

- i. Certification per Rule 97(e); AND
- ii. Filing fee per Rule 17(p)\$180.00

3. [] Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a. [] That each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b. [] That no item of information contained in this Information Disclosure Statement was cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.



Q 15 5
SC 16
CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

DM-07/03

MAR 05 2004
Name: _____
Address: _____

Signature: _____
Date: _____

4.[x] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

5.[x] CERTIFICATE OF MAILING: This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:

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DORITY & MANNING, P.A.

By: Tara E. Agnew

Reg. No: 50,589

Signature: Tara E. Agnew

Date: March 3, 2004



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b. [] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:

i. [] Certification per Rule 97(e); OR

ii. [] Filing Fee per Rule 17(p)\$180.00

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i. Certification per Rule 97(e); AND

ii. Filing fee per Rule 17(p)\$180.00

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SC16
MAR 05 2004

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TRADEMARK CERTIFICATE

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: _____

Signature: _____

Address: _____

Date: _____

4.[x] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

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Terry Ballew
(Typed/printed name of person mailing paper or fee)

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(Signature of person mailing paper or fee)

b. [] "Express Mail" Certificate under Rule 10:

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ADDRESS:

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DORITY & MANNING, P.A.

By: Tara E. Agnew

Reg. No: 50,589

Signature: Tara E. Agnew

Date: March 3, 2004

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DM-07/03
Sheet 1 of 1

Rev. 5/92 Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)		Attorney Docket Number		Serial Number	
		MLY-2-DIV-CIP		10/726,160	
		Applicant			
		Nancy Y. Ip et al.			
Filing Date: December 2, 2003			Group		
			Unknown		
Confirmation No.: Unknown					

NOTE: If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

(1) This item is cumulative, per Rule 98(c)
 (2) A copy of this item was previously cited by or submitted to the U.S.

Patent and Trademark Office in:

USSN _____, filed _____, or
 USSN _____, filed _____;

Relied on under 35 U.S.C. Section 120, per Rule 98(d)

(3) Both reasons (1) and (2) apply
 (4) No legible complete copy is possessed, in custody of controlled, or
 readily available

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	PATENTEE NAME		PATENT NUMBER							ISSUE DATE	COPY NOTE
	Hillman et al.		5	8	5	6	1	2	9	1/5/1999	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION			COPY NOTE
				YES	NO	N/A	

*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS Specify author (if any), Title, Pertinent Pages, Date & Place of Publication			COPY NOTE
	Lewin, Benjamin. Genes IV, Oxford University Press, p.810		Cambridge, 1990.	
	Nucleic acid database, Accession #AI023761		1998	
	Nucleic acid database, Accession #AA913841		1998	
	Nucleic acid database, Accession #AA846697		1998	
EXAMINER			DATE CONSIDERED	
Examiner: initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.				